	Application Number		10661690	
	Filing Date	1 2	2003-09-12	
INFORMATION DISCLOSURE	First Named Inventor	David	d D. Brandt	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit		<del>2153</del> 2109	
( NOT 101 SUBINISSION UNDER 57 OF K 1.55)	Examiner Name	-Unkṛḍ	/Tae Kim/	
	Attorney Docket Numl	oer	03AB014B/ALBRP303USB	

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#### **Application Number** 10661690 Filing Date 2003-09-12 INFORMATION DISCLOSURE First Named Inventor David D. Brandt STATEMENT BY APPLICANT **Art Unit** 2153 2109 (Not for submission under 37 CFR 1.99) /Tae Kim/ **Examiner Name** Unknown Attorney Docket Number 03AB014B/ALBRP303USB If you wish to add additional Foreign Patent Document citation information please click the Add button Add Remove NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item **Examiner Cite** (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), **T**5 Initials\* No publisher, city and/or country where published. If you wish to add additional non-patent literature document citation information please click the Add button Add **EXAMINER SIGNATURE** /Tae Kim/ 07/09/2007 **Examiner Signature Date Considered** \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Application Number		10661690				
Filing Date		2003-09-12				
First Named Inventor David		D. Brandt				
Art Unit	•••	2153				
Examiner Name Unkne		nown				
Attorney Docket Number		03AB014B/ALBRP303USB				

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Sig	nature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2007-06-25					
Nar	ne/Print	Himanshu S. Amin	Registration Number	40894					
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INITION DIGGI COURT	Filing Date		2003-09-12		
INFORMATION DISCLOSURE	First Named Inventor	First Named Inventor David D. Brandt			
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit		<del>2155</del> 2109		
(Not for Submission under or of it 1.50)	Examiner Name				
	Attorney Docket Numb	er	03AB014B/ALBRP303USB		

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( Not for submission under 37 CFR 1.99)

Application Number	1066169	10661690						
Filing Date	2003-09-12							
First Named Inventor	D. Brand	t						
Art Unit	•	<del>2153 -</del>	2109		<del>-</del> ·			
Examiner Name	own-	/\(\tau\)	ae Kim/					
Attorney Docket Numb	03AB014	B/ALBRP	303USB					

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Application Number 1		10661690	7-11		
Filing Date		2003-09-12			
First Named Inventor David		D. Brandt	<del>~</del>		
Art Unit	•	2153			
Examiner Name Unkno		own			
Attorney Docket Number		03AB014B/ALBRP303USB			

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	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
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Sig	nature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2006-12-12					
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INICODA A TION DIOCE COURT	Filing Date	2003-09-12
INFORMATION DISCLOSURE	First Named Inventor	David D. Brandt
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	<del>-2159 </del> 2109
	Examiner Name	<del>Unknown</del> /Tae Kim/
	Attorney Docket Numb	er 03AB014B/ALBRP303USB

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Application Number		10661690		
Filing Date		2003-09-12	2	·
First Named Inventor	David	D. Brandt		
Art Unit		<del>2153</del>	2109	
Examiner Name Unkn		<del>OWN-</del>	/Tae Kim/	
Attorney Docket Number		03AB014B	ALBRP303USB	

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Standard ST.3). <sup>3</sup> For Japane	se patent documents, the indication of the year ropriate symbols as indicated on the document	EP 901.04. <sup>2</sup> Enter office that issued the documer of the reign of the Emperor must precede the serit under WIPO Standard ST.16 if possible. <sup>5</sup> Applic	ial number of the patent document.

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Application Number		10661690	· · · · · · · · · · · · · · · · · · ·	
Filing Date		2003-09-12	· · · · · · · · · · · · · · · · · · ·	
First Named Inventor	David	D. Brandt		
Art Unit		2153		
Examiner Name Unkn		own	1.000	
Attorney Docket Numb	er	03AB014B/ALBRP303USB		

		CERTIFICATION	STATEMENT					
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Sigr	nature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2006-08-08				
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pub 1.14 app requ Pate	lic which is to file ( I. This collection i lication form to the uire to complete th ent and Trademark	mation is required by 37 CFR 1.97 and 1.98. (and by the USPTO to process) an application is estimated to take 1 hour to complete, include USPTO. Time will vary depending upon the lis form and/or suggestions for reducing this is K Office, U.S. Department of Commerce, P.O ED FORMS TO THIS ADDRESS. <b>SEND TO</b>	n. Confidentiality is governating gathering, preparing a individual case. Any compured to the sent to the Box 1450, Alexandria, VA	ned by 35 U.S.C. 122 and 37 CFR and submitting the completed ments on the amount of time you he Chief Information Officer, U.S. 22313-1450. DO NOT SEND				

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Examinar nitials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Palentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Cade <sup>2 (F knowl)</sup>			Figures Appear
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Examiner Signature	/Tae Kim/	Dats Considered	06/08/2007

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to Re (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/661,690

Filing Date September 12, 2003

First Named Inventor David D. Brandt

Art Unit 2159 2109

Examiner Name Unknown /Tae Kim/

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Sheet 1 of 2 Attorney Docket Number 03AB014B/ALBRP303USB

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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#### Substitute for 10 or 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/661,690 Filing Date September 12, 2003 First Named Inventor David D. Brandt **Art Unit** 2109 2159 **Examiner Name** /Tae Kim/ Unknown-

(Use as many sheets as necessary) **Attorney Docket Number** 03AB014B/ALBRP303USB 2 Sheet

			U.S. PATENT		
Examiner . Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where
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Application Number

Substitute for form 1449B/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

10/661,690 Filing Date September 12, 2003 First Named Inventor David D. Brandt Art Unit 2109 <del>2153 -</del> Examiner Name /Tae Kim/ Unknown-

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Sheet Attorney Docket Number 2 03AB014B/ALBRP303USB 2

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/661,690 Filing Date September 12, 2003 First Named Inventor David D. Brandt Art Unit <del>2153 -</del> 2109 Examiner Name /Tae Kim/ Attomey Docket Number 03AB014/ALBRP303USB

(Use as many sheets as necessary) Sheet 1

NON PATENT LITERATURE DOCUMENTS Examiner Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, Cite magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city Initials\* No.1 and/or country where published. F. OLAECHEA; Partial European Search Report; EP 03 02 3916; The Hague, November 16, 2004. /T.K./ INSIGHT CONSULTING; Insight on CRAMM IV; Insight Consulting Online; November 30, 2001; /T.K./ http://www.infosec-associates.com/framework/downloads/insight\_cramm.pdf INTERKAMA; DuPont Shares Network Security Methodology; Interkama Online, March 15, 2002; /T.K./ http://www3.interkama.com/cipp/interkama/custorn/pub/content,lang,2/ticket,g\_u\_e\_s\_t/oid,3781. ROCKWELL AUTOMATION; Rockwell Automation and DuPont Engineering sign worldwide licensing agreement to standardize and improve industrial computer networking security; Online, March 7, 2002; http://domino.automation. /T.K./ rockwell.com/applications/gs/region/gtwwebst.nsf/pages/Rockwell\_Automation\_and\_DuPont\_Engineering\_Sign ... D. SPINELLIS, S. KOKOLAKIS and S. GRITZALIS; Security Requirements, risks and recommendations for small /T.K./ enterprise and home-office environments; Information Management & Computer Security; Online, vol. 7, no. 3, December 31, 1999; http://www.dmst.aueb.gr/dds/pubs/jrml/1999-IMCS-Soft-Risk/html/soho.pdf WILMINGTON SECTION ISA; Wilmington ISA Section Plant Tour of Astropower; ISA Online, March 21, 2001; /T.K./ http://www.isa.org/{wilmdel/Sensor/Mar01.pdf D. HARROLD; Control Engineering: Online, March 1, 2003; http://www.manufacturing.net/ctl.article/CA283196. /T.K./ L. FALKENAU; Site networking and beyond; ISA, Online, July 1, 2003; /T.K./ http://www.isa.org/InTechTemplate.cfm?Section=Departments4&template=/ContentManagement/ContentDisplay.cfm&Con tent1D=28025.

Examiner /Tae Kim/ Signature	Date Considered	06/08/2007
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT **Application Number** 10/661,690 Filing Date September 12, 2003 First Named Inventor David Brandt Art Unit 2153 2109

(Use as many sheets as necessary) **Examiner Name** Unknown Sheet **Attorney Docket Number** 

/Tae Kim/ 03AB014/ALBRP303USB

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/Т.К./		US- 5,604,914	02-18-1997	Kabe	Figures Appear
<u>Л.К.</u>		US- 2004/0049674	03-11-2004	Collier, et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
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Examiner /Tae Kim/ Date Signature 06/08/2007 Considered

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